



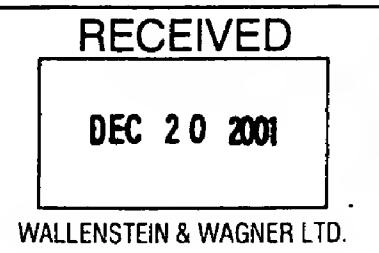
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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/995,253	11/27/2001	H. Michael Lord	1967 P 032



CONFIRMATION NO. 1351  
FORMALITIES LETTER  
\*OC000000007188435\*

Wallenstein & Wagner, Ltd.  
53rd Floor  
311 S. Wacker Drive  
Chicago, IL 60606-6622

Date Mailed: 12/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$768.
  - \$432 for 48 total claims over 20.
  - \$336 for 8 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1203.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

02/15/2002 0967001 00000028 0995253

01 FC:201	370.00 0F
02 FC:202	336.00 0F
03 FC:203	432.00 0F
04 FC:205	65.00 0F

**TO DOCKETING**

*WAD*  
*A copy of this notice MUST be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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2151



SECTO P  
#

26968

PATENT

Attorney Docket No. 1967 P 032

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application of:  
H. Michael Lord

Application No.: 09/995,253

Filed: November 27, 2001

For: METHOD AND APPARATUS FOR  
EXCHANGING DATA BETWEEN A  
PRIMARY COMPUTER SYSTEM AND  
AN EXTERNAL COMPUTER SYSTEM  
TO ENSURE TRANSACTIONAL  
RECONCILIATION BETWEEN THE  
SYSTEMS

)  
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Group Art Unit: 2151  
)  
)

Examiner: Not Yet Assigned  
)

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Commissioner For Patents  
Washington, D.C. 20231

MAR 29 2002

Technology Center 2100

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF NONPROVISIONAL APPLICATION**

Dear Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application" dated December 12, 2001, Applicant encloses the following:

1. an executed Declaration and Power of Attorney;
2. a check in the amount of \$1,203.00 in payment of the filing fee and the surcharge for late filing of the Declaration and Power of Attorney; and,
3. a copy of the "Notice to File Missing Parts of Nonprovisional Application."

The Notice also requires submission of substitute drawings in compliance with 37 C.F.R. 1.84 because the drawing sheets do not have the appropriate margins. To comply with 37 C.F.R. 1.84, the Applicant submits formal drawings in the Request for Approval and Submission of Formal

Attorney Docket No. 1967 P 032  
Application Serial No. 09/995,253

Page 2

Drawings filed concurrently herewith. In preparation of the formal drawings, it was determined that the figures of the drawings would not fit on the same number of drawing sheets as originally submitted while maintaining compliance with the provisions of 37 C.F.R. 1.84. A Preliminary Amendment to correct references to the figures in the Specification is also filed concurrently herewith.

The filing requirements of this application should now be complete. You are hereby authorized to debit any payment deficiencies or credit any overpayments only with regard to the above-identified Application to our Deposit Account No. 23-0280. A duplicate copy of this sheet is attached.

Respectfully submitted,

Dated: January 23, 2002

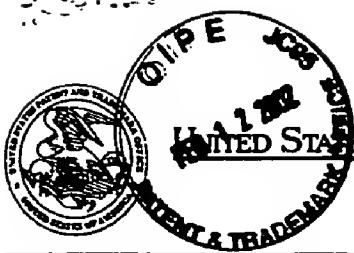
By:

William J. Lenz, Reg. No. 44,208  
Customer No. 26968  
Wallenstein & Wagner, Ltd.  
311 South Wacker Drive, 53rd Floor  
Chicago, Illinois 60606-6630  
(312) 554-3300

CERTIFICATE OF MAILING (37 C.F.R. § 1.8a)

I hereby certify that this document is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on January 23, 2002.

Natha Conerly  
Natha Conerly (137406.1)

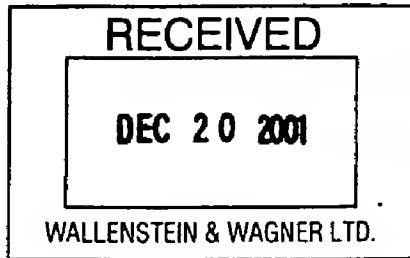


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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/995,253	11/27/2001	H. Michael Lord	1967 P 032

Wallenstein & Wagner, Ltd.  
 53rd Floor  
 311 S. Wacker Drive  
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CONFIRMATION NO. 1351  
 FORMALITIES LETTER  
  
 "OC00000007188435"

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## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

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04 FD:205	65.00 0F

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*W.M.*  
*A copy of this notice MUST be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application of

H. MICHAEL LORD

Application No. 09/995,253

Filed: November 27, 2001

For: METHOD AND APPARATUS FOR  
EXCHANGING DATA BETWEEN A  
PRIMARY COMPUTER SYSTEM AND  
AN EXTERNAL COMPUTER SYSTEM  
TO ENSURE TRANSACTIONAL  
RECONCILIATION BETWEEN THE  
SYSTEMS

Examiner: Not Assigned

Art Unit: 2151

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REQUEST FOR APPROVAL AND SUBMISSION OF FORMAL DRAWINGS

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Commissioner For Patents  
Washington, DC 20231

Dear Sir:

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The Applicant of the above-identified application submits the attached formal drawing sheets in connection with the Response to Notice to File Missing Parts of Non-Provisional Application and Preliminary Amendment filed concurrently herewith. Approval of these drawings is respectfully requested.

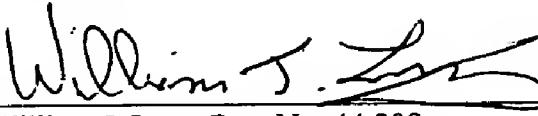
The formal drawings reflect changes to comply with 37 C.F.R. § 1.84. Originally-submitted FIG. 5A has been divided into FIGS. 5A - 5B and originally submitted FIG. 5B has been divided into FIGS. 5C - 5D to facilitate compliance with 37 C.F.R. § 1.84. The text within the box indicated by reference number 800 of originally-submitted FIG. 5A has been changed from "Process

Attorney Docket No. 1967 P 032  
Application Serial No. 09/995,253

Page 2

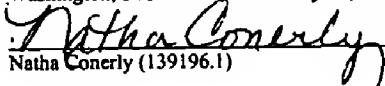
Alternate Feature (Fig. 5B)" to "Process Alternate Feature (Fig. 5C)" so the changes to the figure designations.

Respectfully submitted,

By:   
William J. Lenz, Reg. No. 44,208  
Customer No. 26968  
WALLENSTEIN & WAGNER, LTD.  
311 South Wacker Drive - 53rd Floor  
Chicago, Illinois 60606-6622  
312-554-3300

Date: January 23, 2002

**CERTIFICATE OF MAILING (37 C.F.R. § 1.8a)**  
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addressed to: Box Missing Parts, Commissioner for Patents,  
Washington, D.C. 20231, on January 23, 2002.

  
Natha Conerly  
Natha Conerly (139196.1)